

US EPA ARCHIVE DOCUMENT



2010 Program Implementation Summary

National Environmental Performance Partnership System (NEPPS)

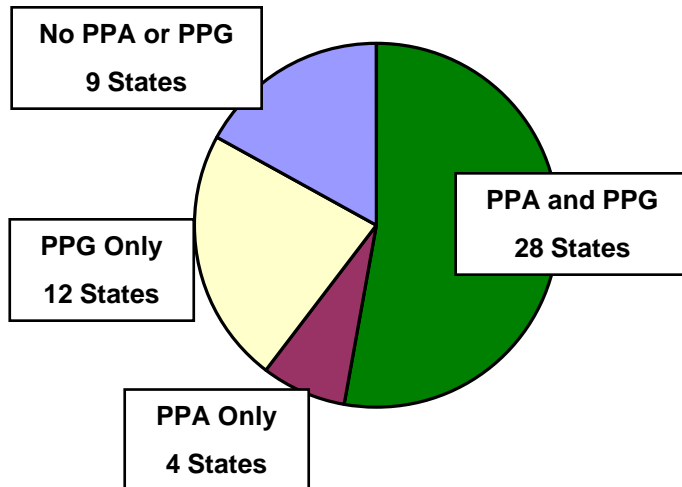
Office of Congressional and
Intergovernmental Relations



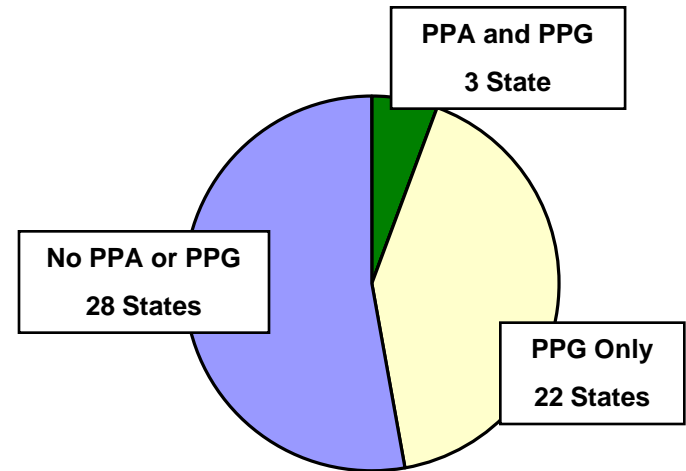
Use of Performance Partnership Tools (FY2010)

Performance Partnership Agreements (PPAs) and Performance Partnership Grants (PPGs)

State Environmental Agencies



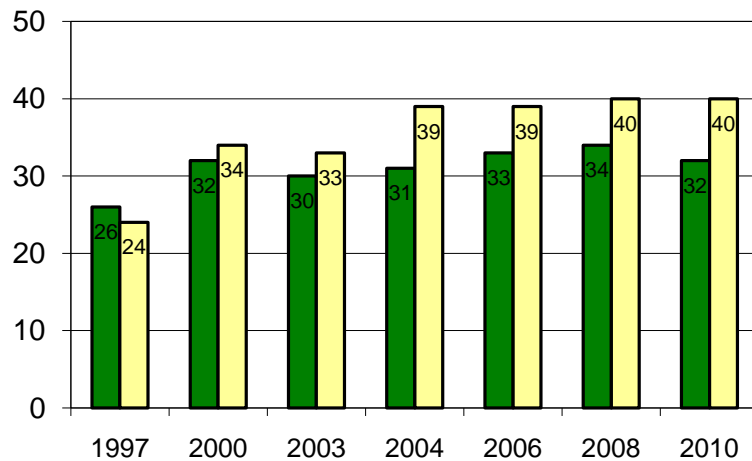
State Agriculture Agencies



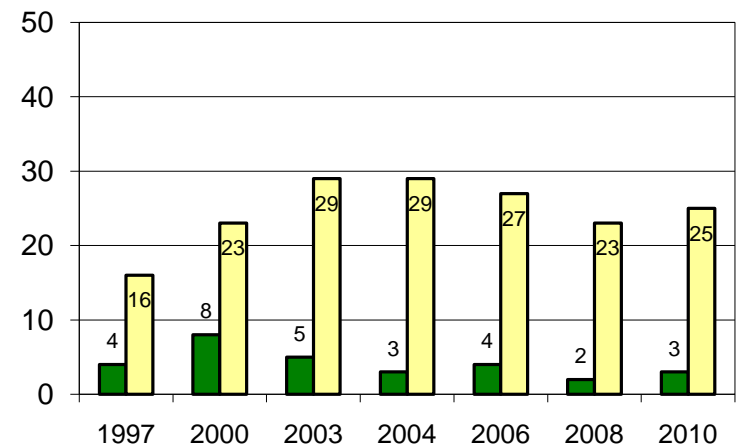


Trends in Performance Partnerships

State Environmental Agencies



State Agriculture Agencies



Performance Partnership Agreements (PPAs)

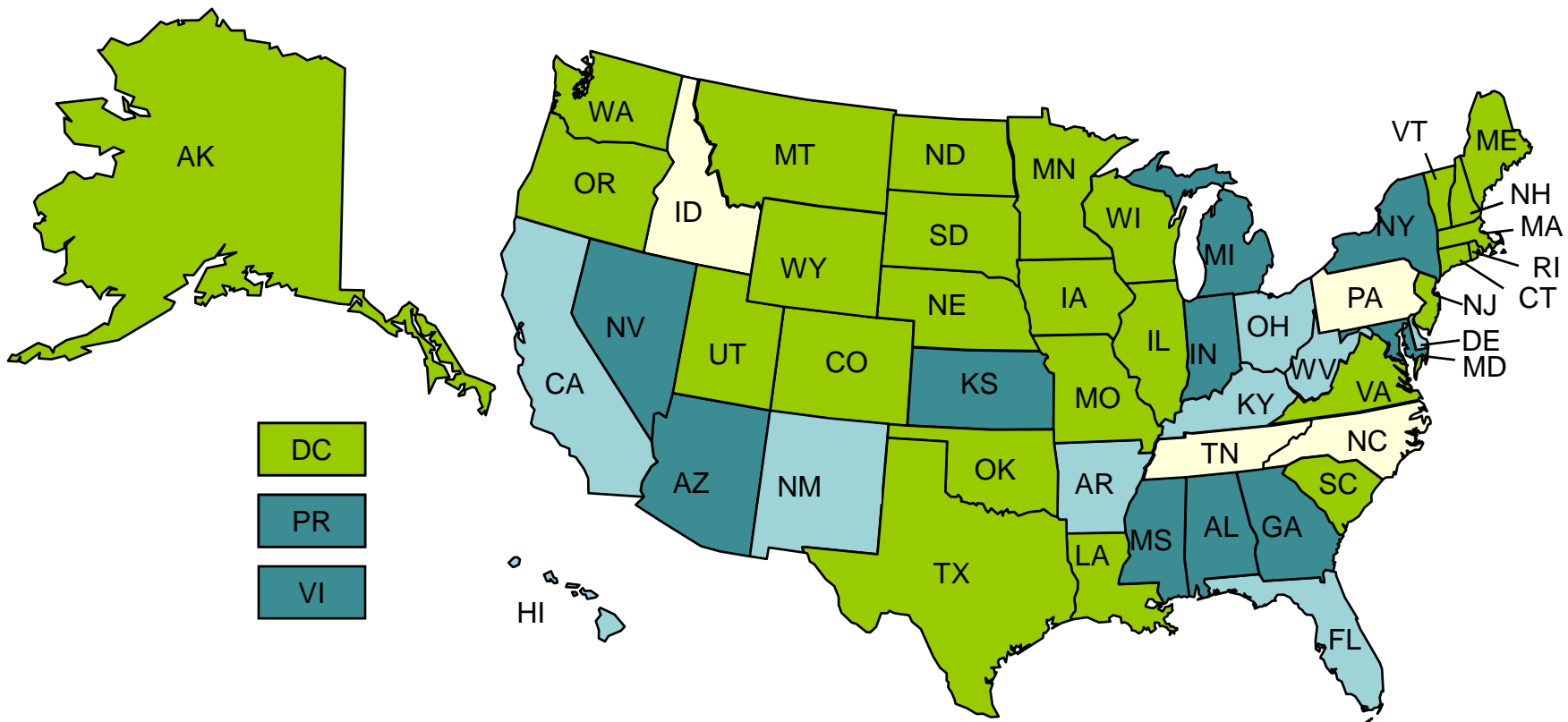
Performance Partnership Grants (PPGs)



Use of Performance Partnership Tools

State Environmental Agencies (FY2010)

Performance Partnership Agreements (PPAs) and Performance Partnership Grants (PPGs)



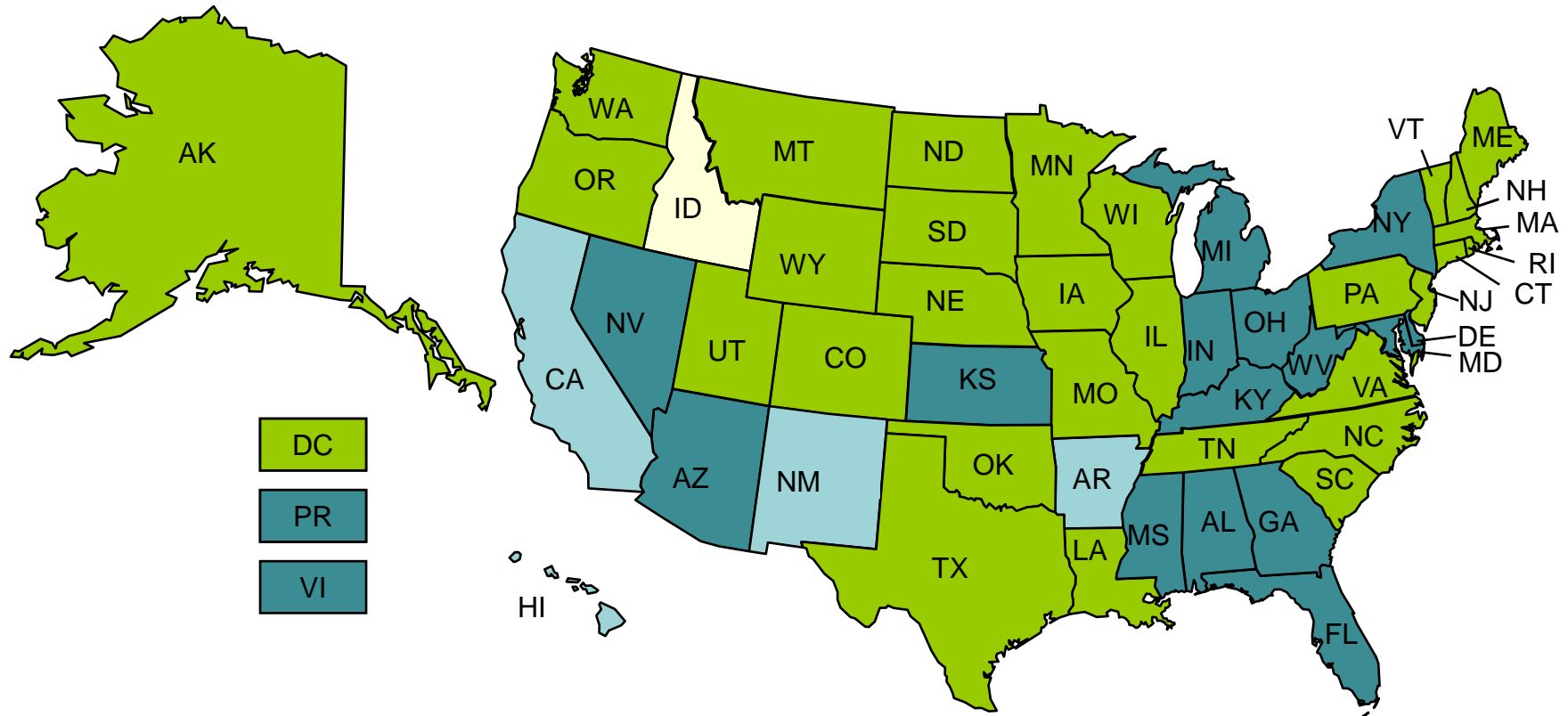
PPA and PPG	PPG Only
PPA Only	Other Planning Interaction



Use of Performance Partnership Tools

State Environmental & Agriculture Agencies Combined (FY2010)

Performance Partnership Agreements (PPAs) and Performance Partnership Grants (PPGs)

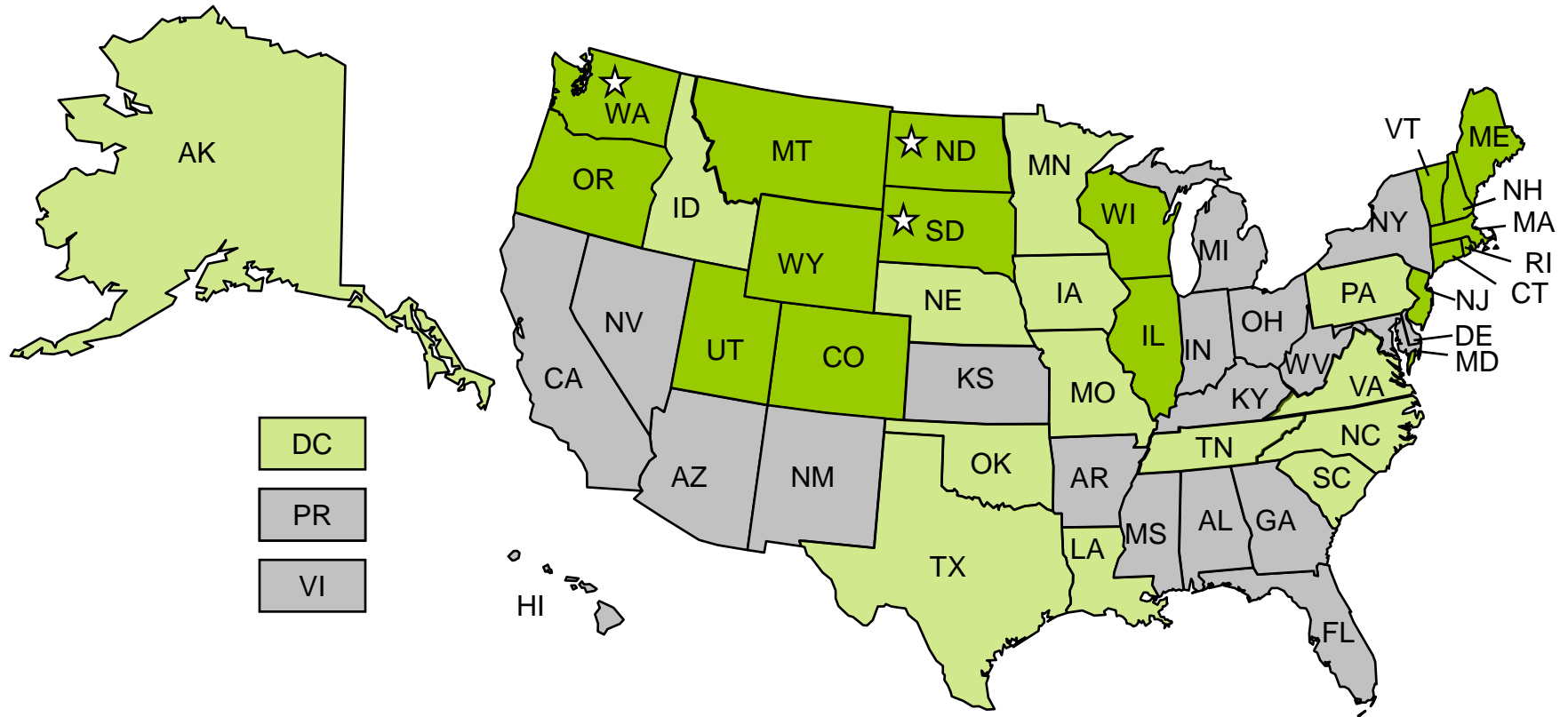


PPA and PPG	PPG Only
PPA Only	Other Planning Interaction



PPAs as Grant Workplans

State Environmental Agencies (FY2010)

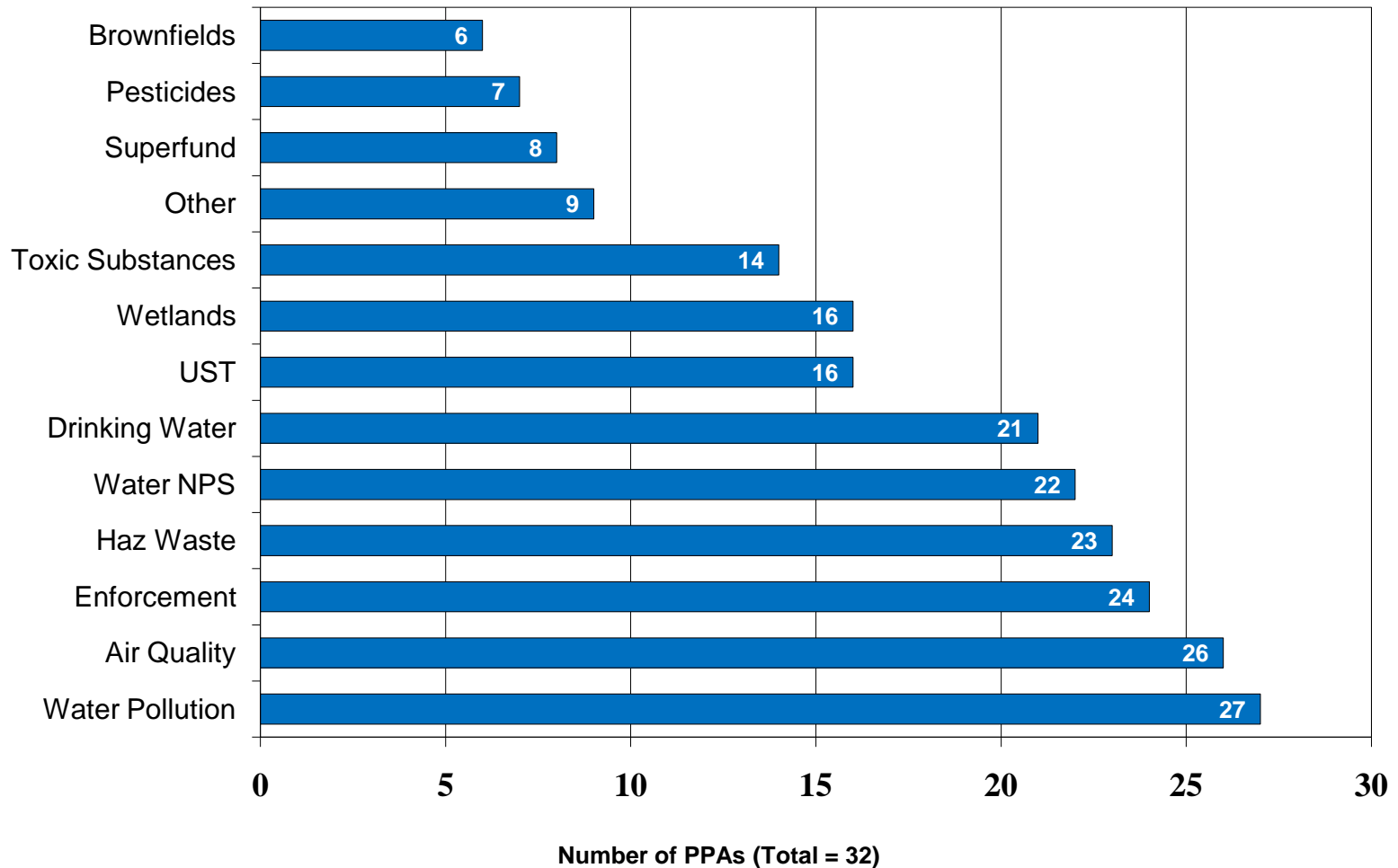


PPA serves as PPG workplan	PPA does not serve as PPG workplan
PPA serves as workplan for PPG & categorical grants ★	No PPA



Program Areas Covered in PPAs

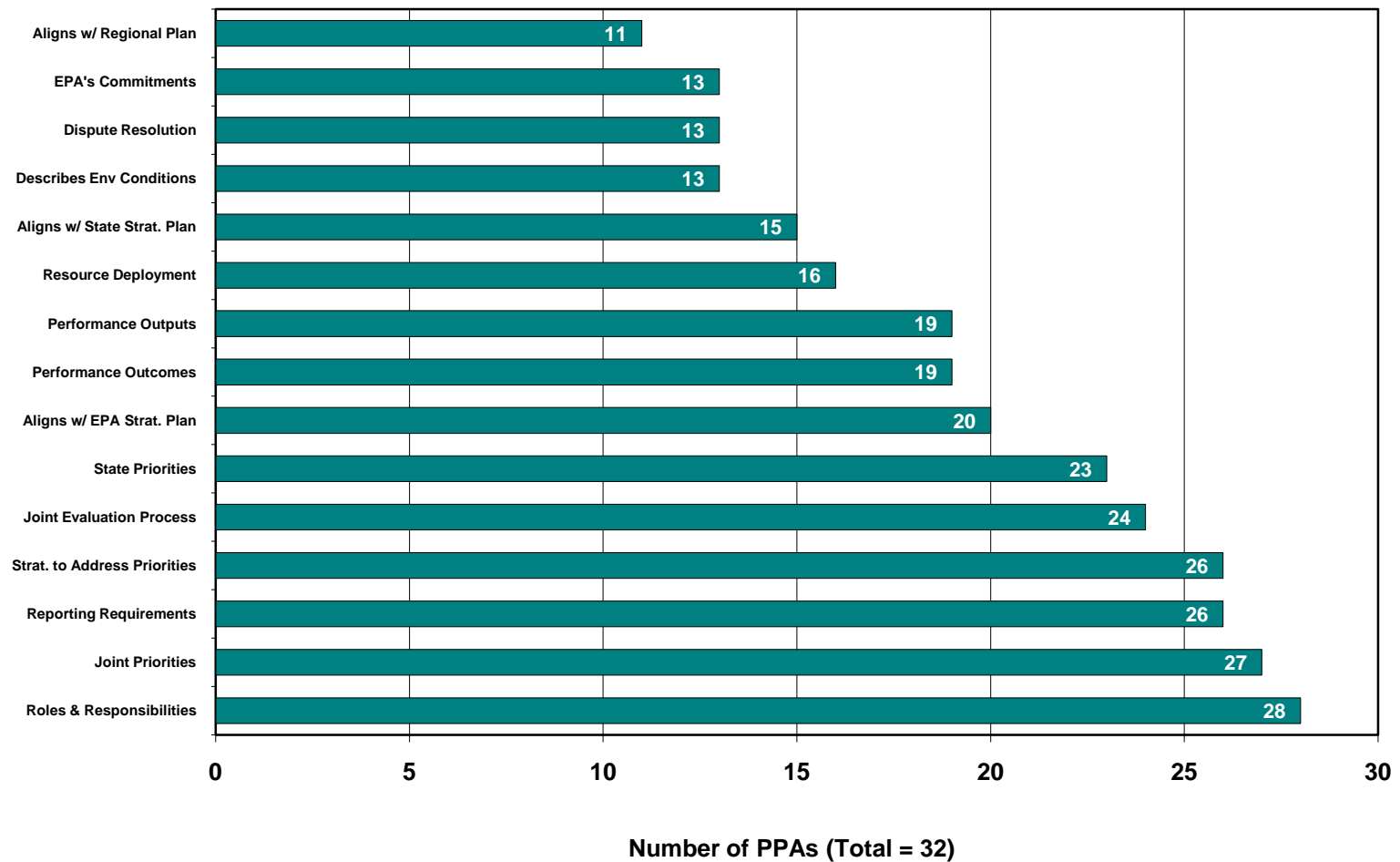
State Environmental Agencies (FY2010)





Attributes of PPAs

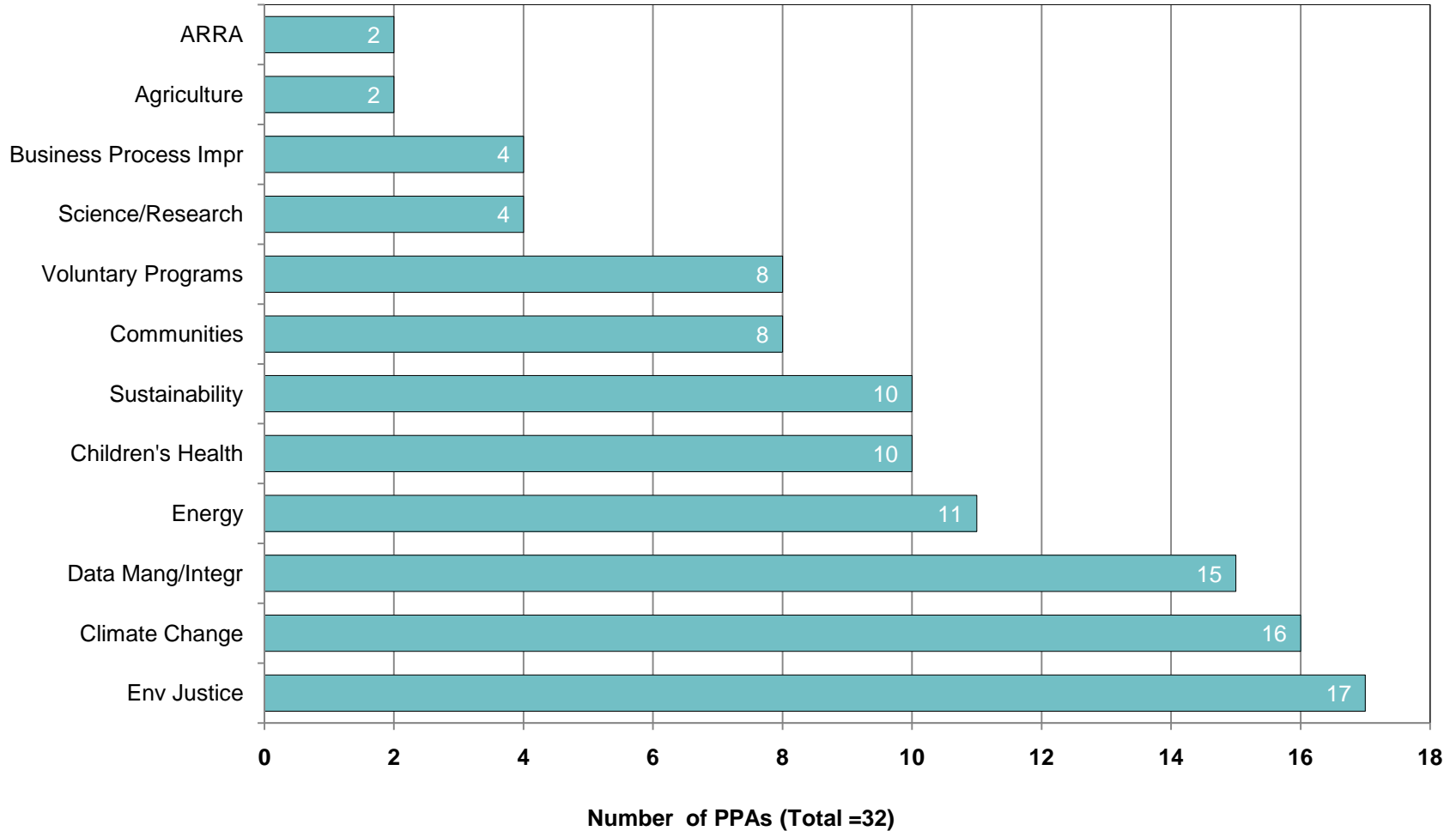
State Environmental Agencies (FY2010)





Topics Covered in PPAs

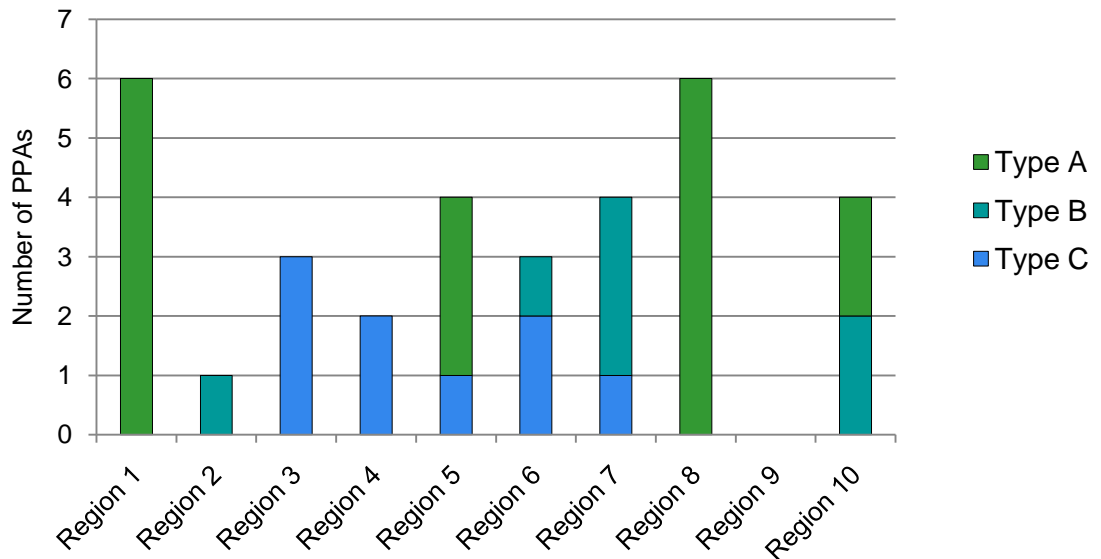
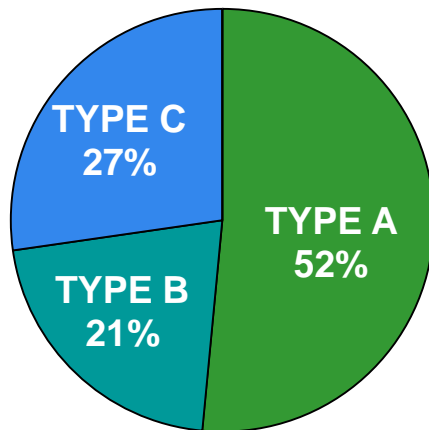
State Environmental Agencies (FY2010)





PPAs by Type

State Environmental Agencies (FY 2010)



Type A: Comprehensive, strategic and operational. Covers all major program areas. Generally linked to grant resources and provides for administrative and/or programmatic flexibility; serves as the PPG and/or categorical grant workplan. Includes: description of environmental conditions; state's priorities and strategies and alignment with EPA's Strategic Plan roles and responsibilities of each partner; performance measures and reporting requirements; and compliance and enforcement provisions.

Type B: Broad in scope and lists the state's strategic priorities (e.g., air, water, resource conservation, toxics, emergency response). May be linked to grant resources and serve as the PPG workplan. Also may include: description of environmental conditions strategies for addressing priorities and alignment with EPA's Strategic Plan; roles and responsibilities of each partner ; and compliance and enforcement provisions.

Type C: High-level strategic agreement that is limited. Generally not linked to grant resources and does not serve as the PPG workplan. Includes: state's strategic priorities (e.g., air, water, resource conservation, toxics, emergency response, etc.); may include compliance and enforcement (but not typical).



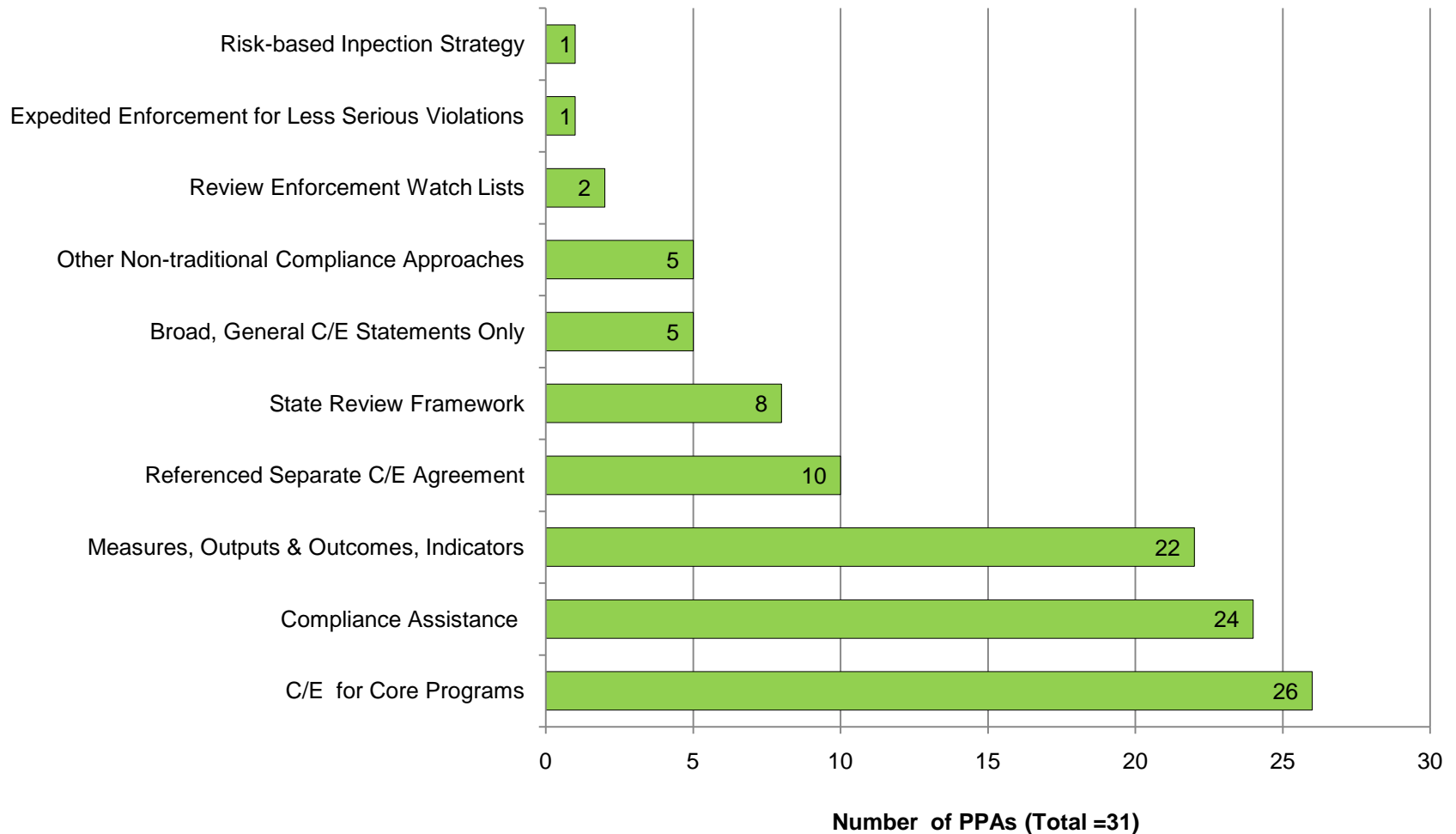
Compliance and Enforcement Provisions in PPAs

State Environmental Agencies (FY2009)

- 31 of 34 PPAs reference enforcement or contain specific compliance and enforcement (C/E) components.
- PPAs, in varying degrees, are used to:
 1. Articulate federal/state roles and responsibilities;
 2. Highlight recommended actions as follow-up to State Review Framework reviews;
 3. Track enforcement performance through a combination of measures, indicators, reporting requirements, outputs/outcomes;
 4. Monitor compliance through inspections; and
 5. Provided education, outreach, technical and compliance assistance.

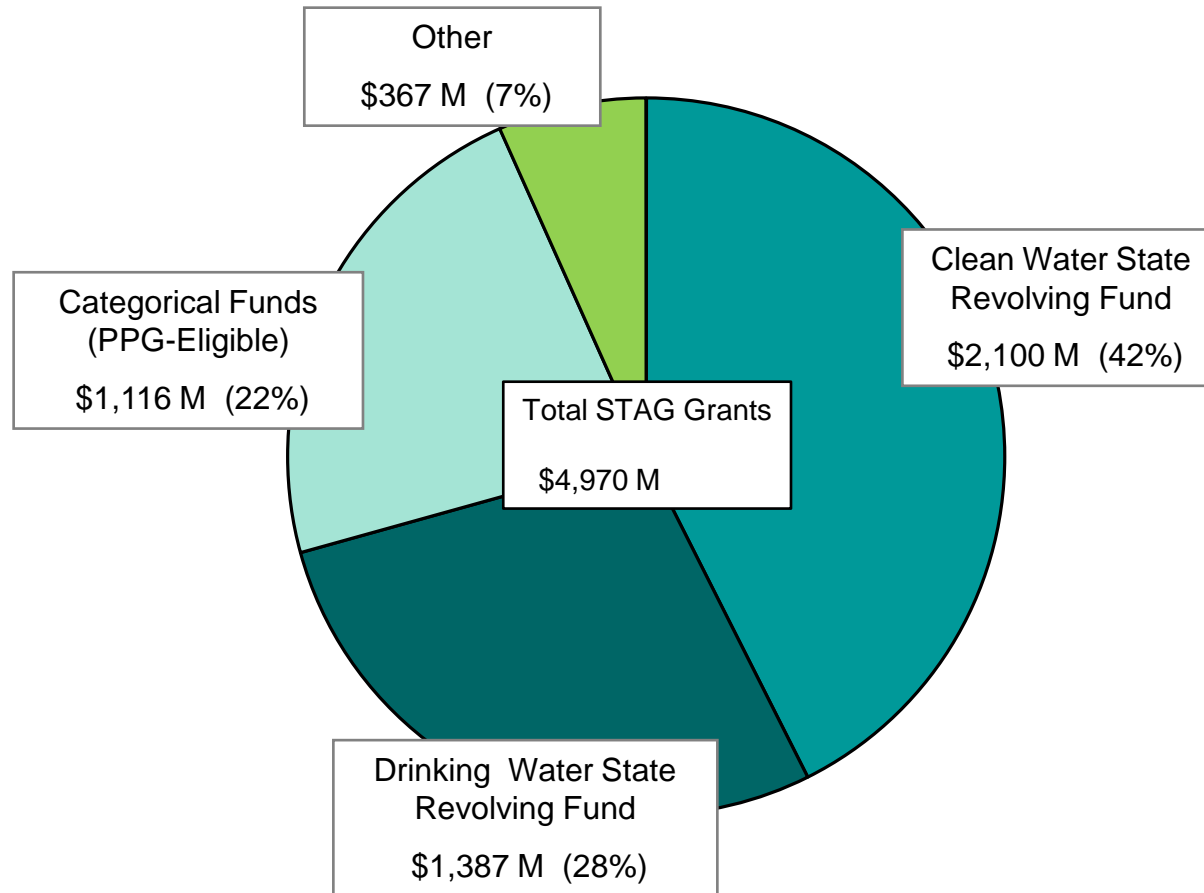


Compliance and Enforcement Provisions in PPAs State Environmental Agencies (FY2009)



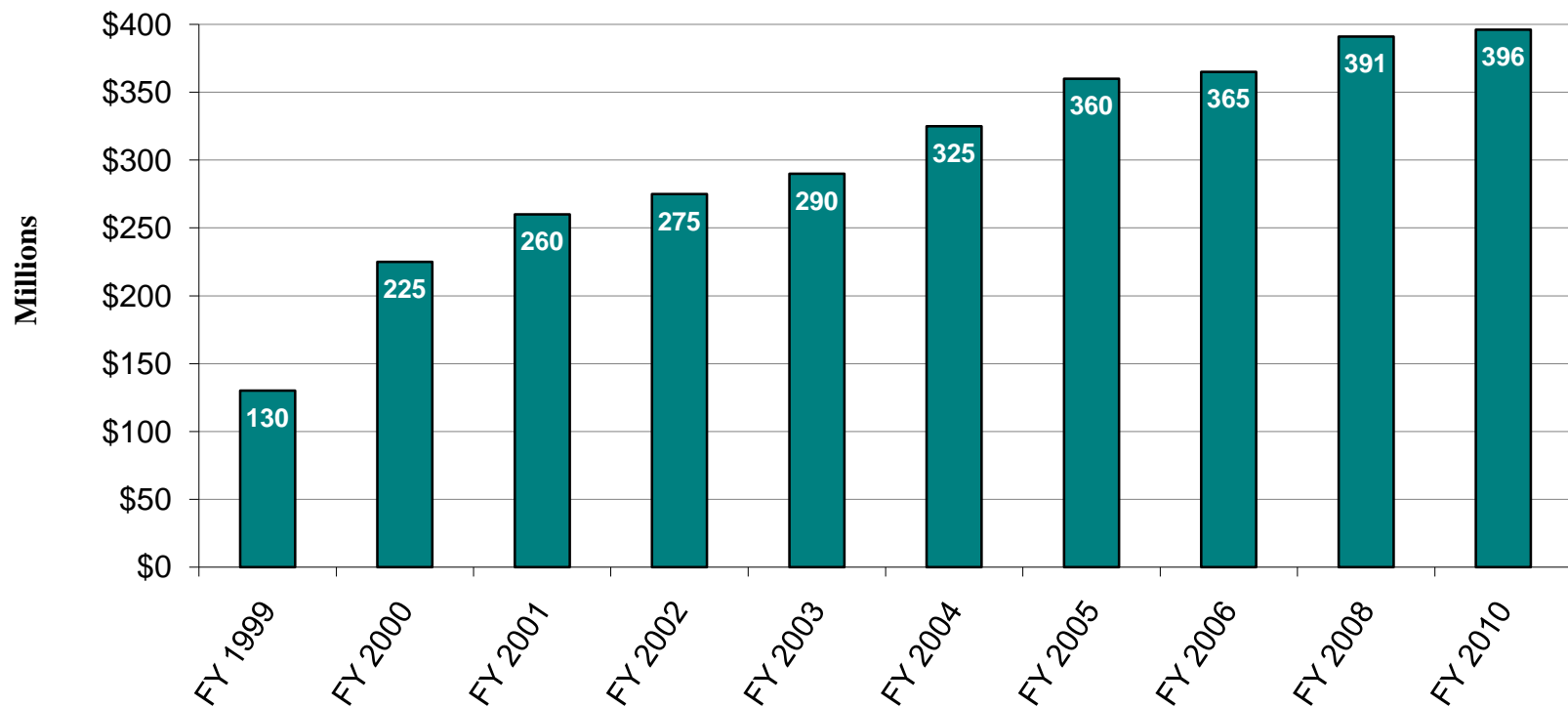


Distribution of State and Tribal Assistance Grant Funds (FY 2010)



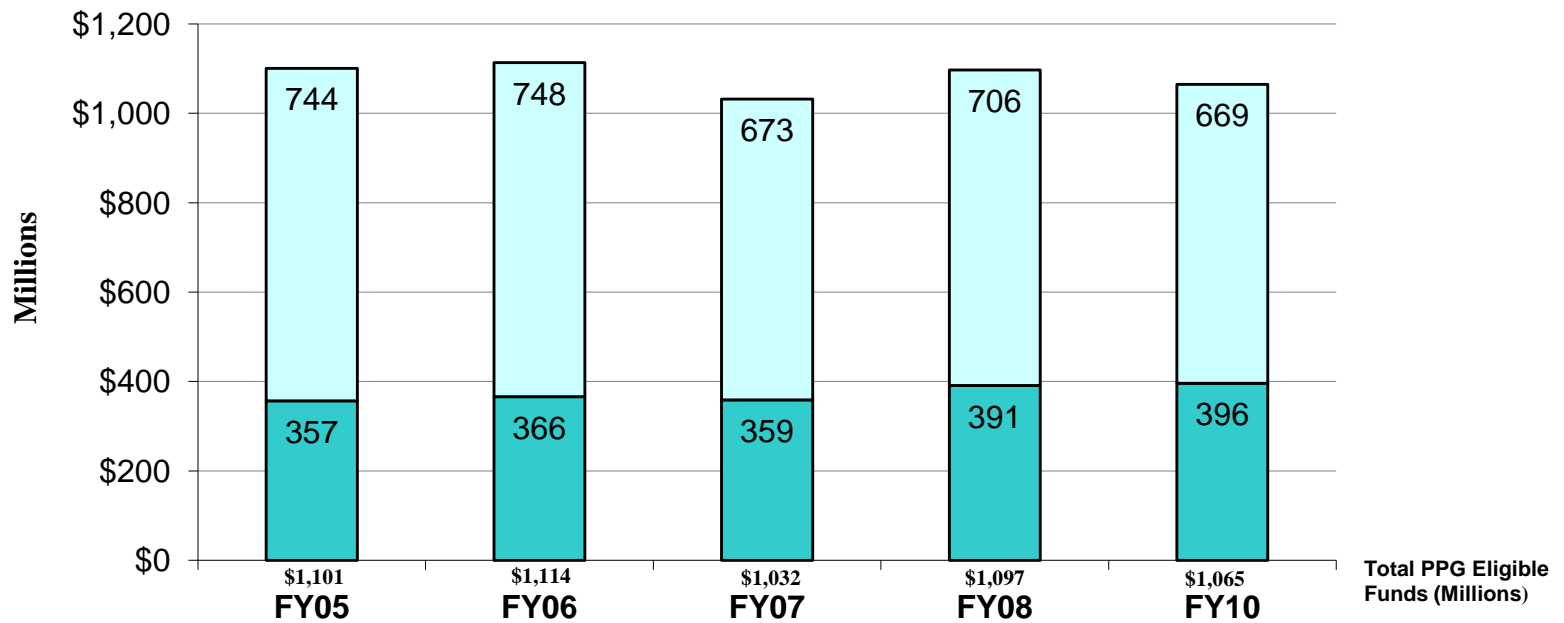


Performance Partnership Grant (PPG) Obligations





Grant Funds Awarded as PPGs and Categorical Grants

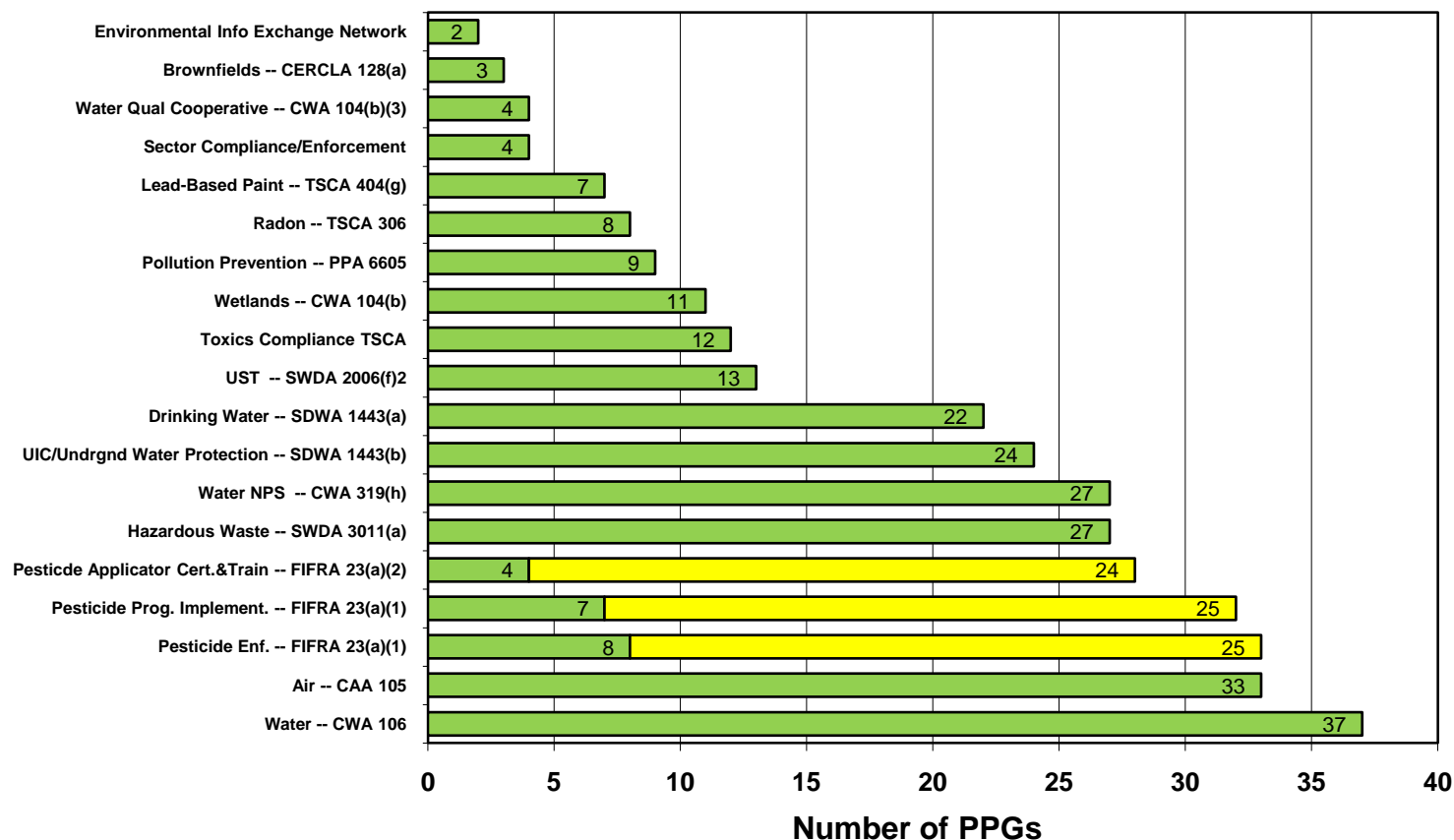


PPG-Eligible Funds Awarded as Categorical Grants

PPG-Eligible Funds Awarded in PPGs



Categorical Grants Combined in PPGs, by Program (FY2010)

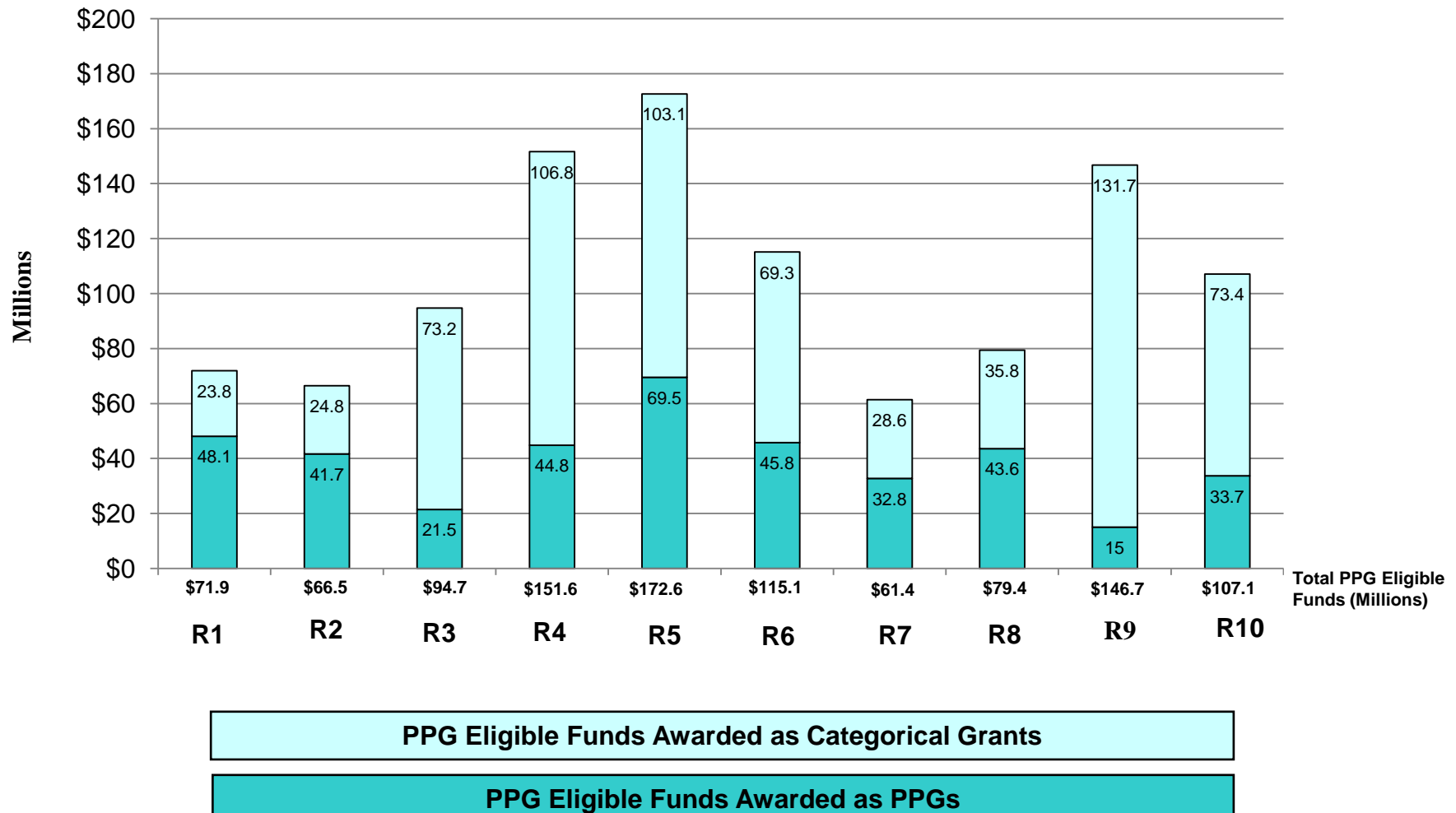


State Environmental Agency PPGs (Total = 40)

State Agricultural Agency PPGs (Total = 25)

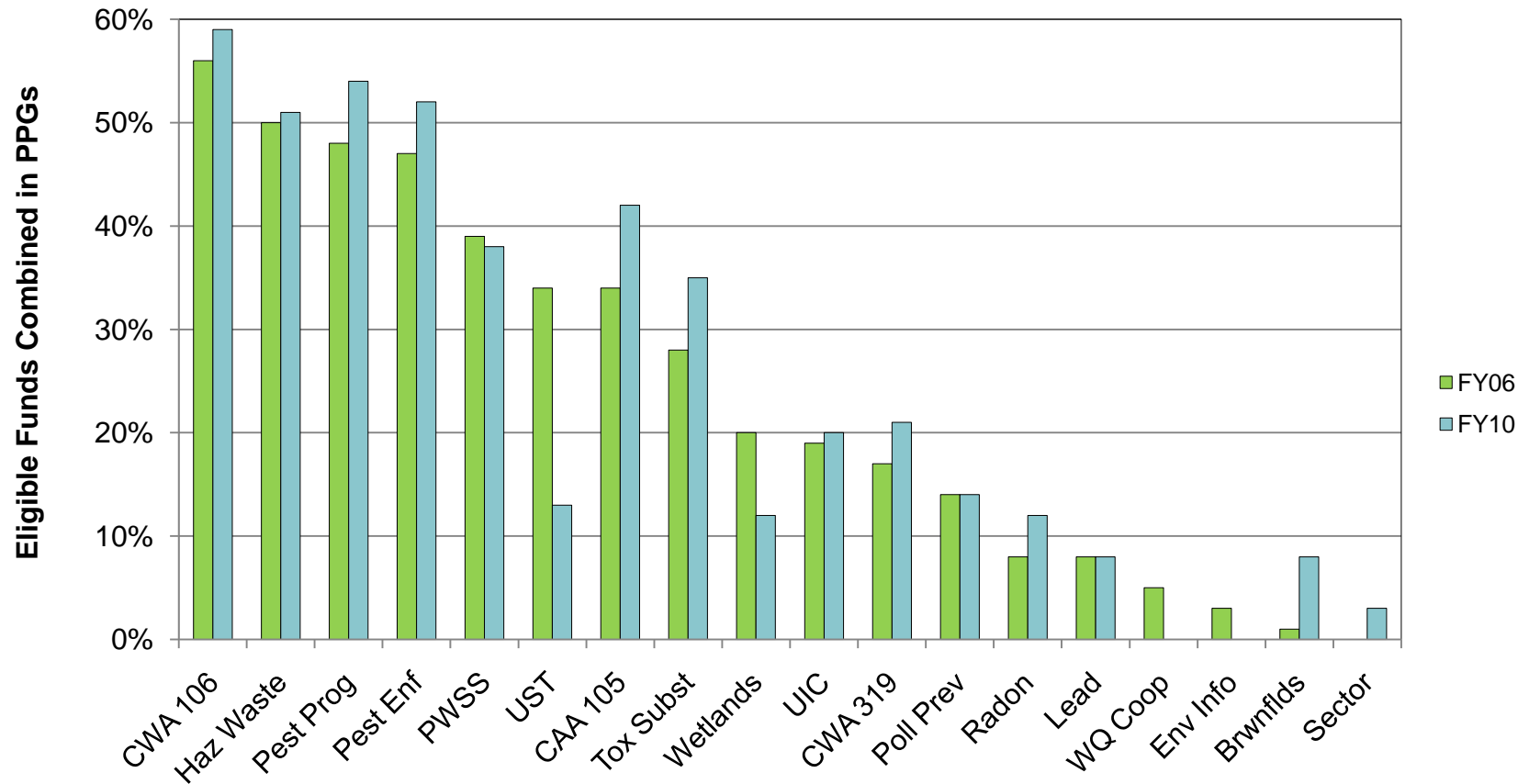


Grant Funds Awarded as PPGs and Categorical Grants by Region (FY 2010)





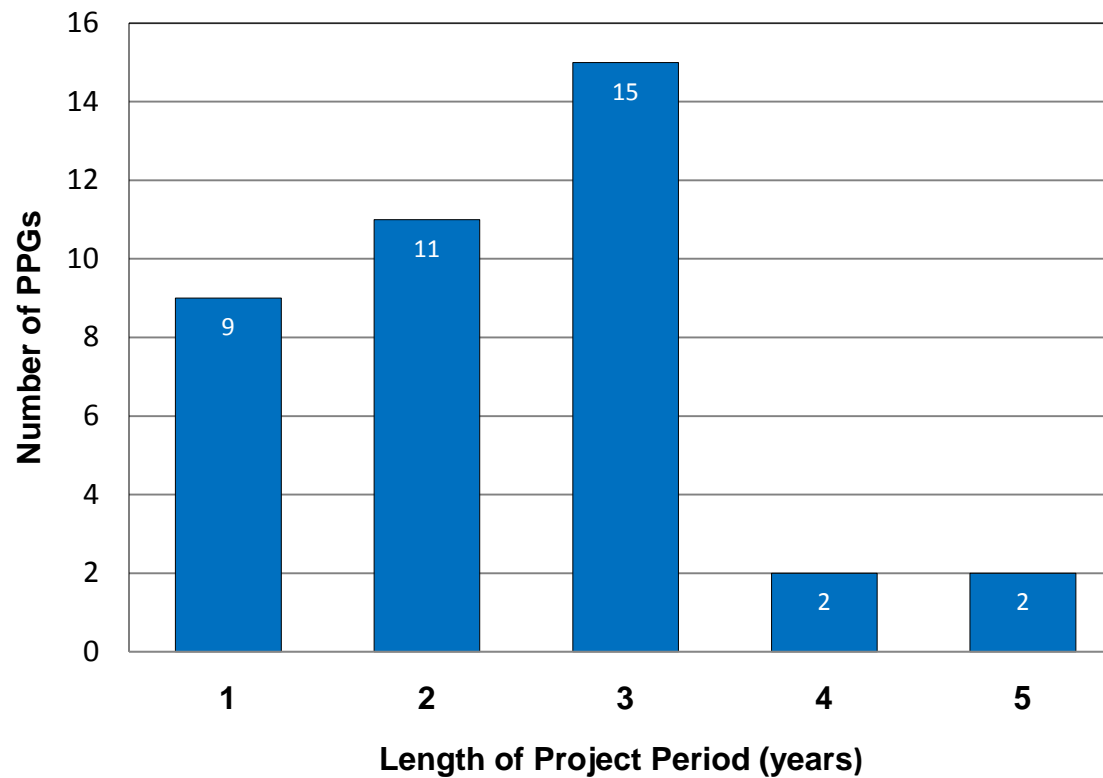
Categorical Grants Awarded as PPGs, by Program





PPG Project Periods

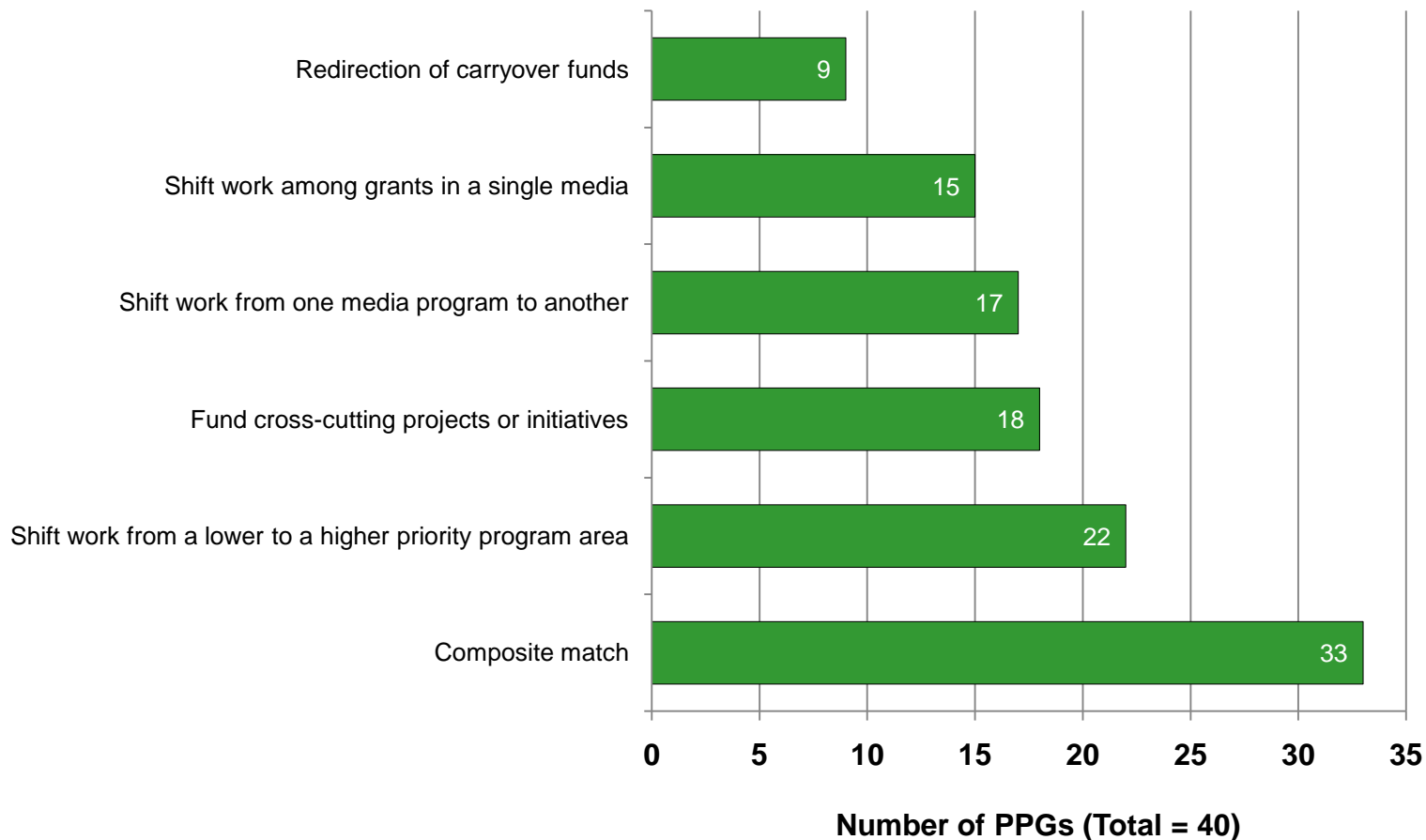
State Environmental Agencies (FY2010)





Flexibility Used in PPGs

State Environmental Agencies (FY2010)





Worksharing in PPAs

State Environmental Agencies (FY 2010)

10 of 32 PPAs discuss worksharing:

- General agreement to share work without defining specific tasks (3 states)
- EPA experts providing technical assistance to state staff (2 states)
- RCRA Corrective Action
- Enforcement actions (2 states)
- Conducting inspections (2 states)
- Shared training (2 states)
- Permit writing (1 state)
- Monitoring (1 state)
- TMDL development (1 state)
- Intergovernmental Personnel Assignments (1 state)
- EPA laboratory services (1 state)



Regional Outreach to States Not Using PPAs or PPGs State Environmental Agencies (FY2010)

- During FY2009-2010, 32 states already utilized PPAs and 40 utilized PPGs, limiting possible outreach opportunities regarding new PPA/Gs to 20 states or territories.
- Regional offices reached out to six states and one opted to negotiate a new PPA.
- Reason the state cited for negotiating a new PPA
 - Desire to expand the level of partnership with the regional office.
- Reasons the state cited for *not* initiating a new PPA or PPG
 - Satisfied with the status quo (4 states)
 - Don't believe more flexibility can be achieved (1 state)
 - Transaction costs perceived as too high (1 state)



Regional Outreach to States with PPAs or PPGs State Environmental Agencies (FY2009-2010)

- During FY2009-2010, 32 states and territories were utilizing PPAs and 40 were utilizing PPGs.
- Regional offices reached out to 20 of these states about expanding the scope of their PPA or PPG, or utilizing more flexibility, and five chose to do so.
- Reasons the state cited for expanding the scope of an existing PPA or PPG
 - Ease of delivering funds (1 state)
 - Expand flexibility by adding more grants (1 state)
 - Add ARRA language (1 state)
 - Address new issues or requirements (2 states)
- Reasons the state cited for *not* expanding the scope of an existing PPA or PPG
 - Satisfied with the status quo (4 states)
 - Organizational resistance (1 state)



Requests for Programmatic Flexibility

State Environmental Agencies (FY2009-2010)

- Regional offices considered 18 requests for additional programmatic flexibility for states and territories, and granted them all.
- In six other instances, regional offices approached states about opportunities for additional flexibility which resulted in no action.